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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/782,595

02/12/2001

David Funk

41803/DBP/C664

CONFIRMATION NO. 9488

23363 CHRISTIE, PARKER & HALE, LLP 350 WEST COLORADO BOULEVARD SUITE 500 PASADENA, CA 91105

FORMALITIES LETTER
OC000000005857427

Date Mailed: 03/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 MBEEREM1 00000066 09702595

01 FC:101 02 FC:105 710.00 GP 130.00 GP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 10, 2001.

Alex Martinez

Applicant

David Funk

Application No.:

09/782,595

Filed

February 12, 2001

Title

NETWORK MANAGEMENT ARCHITECTURE

Grp./Div.

2633

Examiner

N/A

Docket No.

41803/DBP/C664

LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 P.O. Box 7068 Pasadena, CA 91109-7068 May 10, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated March 13, 2001, enclosed are:

- 1. Copy of Notice to File Missing Parts of Application.
- 2. Check for \$710.00, for the filing fee and a surcharge check for \$130.00.
- 3. Executed Declaration and Power of Attorney.
- 4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

Application No. 09/782,595

Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

Bv

D. Bruce Prout Reg. No. 20,958 626/795-9900

DBP/aam Enclosures

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